#### Writing More Than Once on a Write-Once Memory

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# Outline

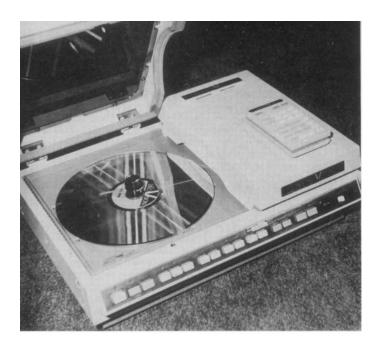
- Write-Once-Memory (WOM) model
- WOM codes: How to re-use a WOM
- Binary WOM codes
  - Constructions and bounds
- Non-binary WOM codes
   Constructions and bound
  - Constructions and bounds
- Concluding remarks

#### Motivation - 1982

- Punch cards
- Optical disks







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#### **Optical Disk Recording**

#### SCIENCE, VOL. 215, 12 FEBRUARY 1982

#### Optical Disk Technology and Information

Charles M. Goldstein

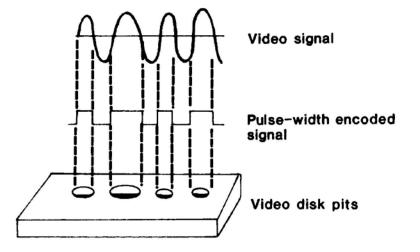


Fig. 1. Pulse-width encoding.

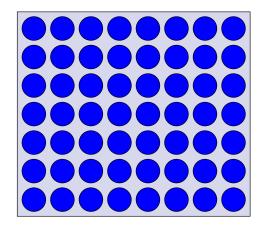
#### Can a previously recorded optical disk be re-used?

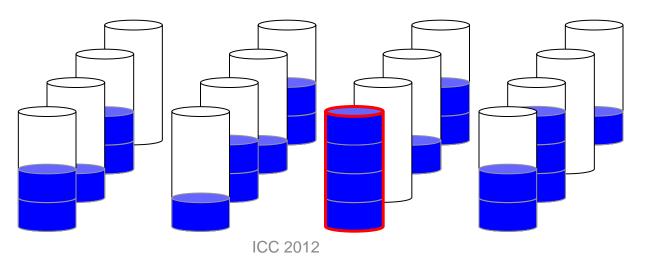
#### Motivation - 2012

- Flash memory
   Flash memory
- A flash memory "block" is an array of ~2<sup>20</sup> "cells".
- A cell is a floating-gate transistor with *q* "levels".
   corresponding to the voltage induced by the number of electrons stored on the gate.
- Terminology:
  - Single-level cell (SLC) stores 1 bit per cell
     (q=2)
  - Multi-level cell (MLC) stores 2 (or more) bits per cell (q=4 or more).

## Flash Programming

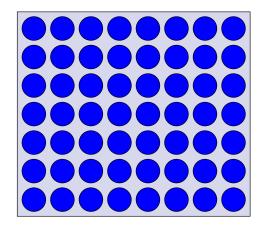
- To increase a cell level, you just add more electrons.
- To reduce the cell level, you must first erase the entire block of cells and then reprogram the block to reflect the updated data.

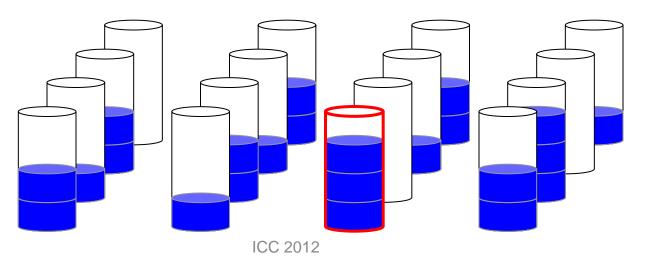




## Flash Programming

- To increase a cell level, you just add more electrons.
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#### Flash Memory Endurance

- Block erasure degrades the flash memory cells.
- Flash memory endurance (also called lifetime) is measured in terms of the number of program and erase (P/E) cycles it tolerates before failure.
- SLC flash memory lifetime is  $\sim 10^5$  P/E cycles.
- MLC flash memory lifetime is  $\sim 10^4$  P/E cycles.

Can new data be written to a flash memory cell without first erasing the entire block?

# Write-Once Memory (WOM) Model

- Introduced in 1982 by Rivest and Shamir
- An array of "write-once bits" (or wits) with 2 possible values: 0 and 1.
- Initial state of every wit is 0.
- Each wit can be **irreversibly** programmed to 1.

#### Can a WOM be rewritten?

# How to Reuse a "Write-Once" Memory\*

# Ronald L. Rivest

MIT Laboratory for Computer Science, Cambridge, Massachusetts

and Adi Shamir

Weizmann Institute of Science, Rehovot, Israel

"TROUBLE HIM NOT; HIS WITS ARE GONE." KING LEAR, III.vi.89

#### Information and Control, vol. 55 nos. 1-3, December 1982

#### The Mother of all WOM codes

"Only 3 wits are needed to write 2 bits twice"

Data	1 <sup>st</sup> Write	2 <sup>nd</sup> Write
00	000	111
01	100	011
10	010	101
11	001	110

# **Encoding and Decoding**

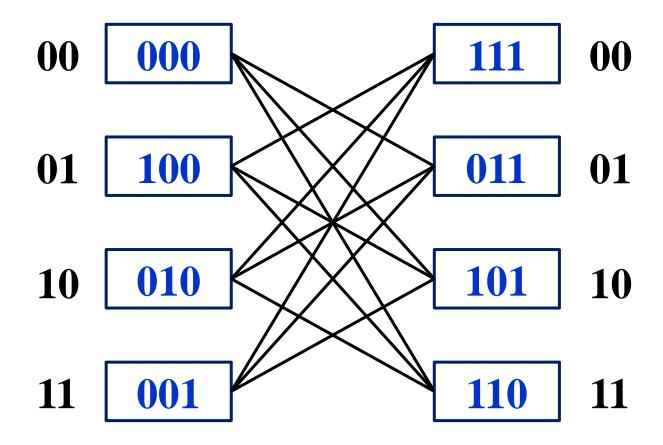
- 1<sup>st</sup> write:
  - Encode 2-bit word using 1<sup>st</sup>-write codebook.

Data	1 <sup>st</sup> Write	2 <sup>nd</sup> Write
00	000	111
01	100	011
10	010	101
11	001	110

- 2<sup>nd</sup> write:
  - Decode first 2-bit woes from the written codeword.
  - If new 2-bit word is the same as the first, there is no change to the written codeword.
  - If new 2-bit word is different, encode using 2<sup>nd</sup>-write codebook. This never changes a written 1 to a 0.
- Decoding: Each codeword is associated with a unique 2-bit data pattern.

#### **Another Representation**

• No 2<sup>nd</sup> write codeword changes a 1 to a 0.



## Binary WOM Codes

- An < M<sub>1</sub>,..., M<sub>t</sub> >/n binary WOM code is a coding scheme that guarantees any sequence of t writes using alphabet sizes M<sub>1</sub>,..., M<sub>t</sub> on n cells.
- We consider two cases

   unrestricted rate: M<sub>1</sub>,..., M<sub>t</sub> may differ.
   fixed rate: M = M<sub>1</sub> = ... = M<sub>t</sub>.
- Rivest-Shamir code is a < 4,4 >/3 WOM code.

# <26,26> / 7 Binary WOM Code [RS82]

• Stores 26 messages twice in 7 binary cells

- Letter in row I, column J stored as the 7-bit binary representation of I\*32+J
- 1<sup>st</sup> write: Upper case letters
- 2<sup>nd</sup> write: Lower case letters

#### WOM Code Sum-Rate

- For an < M<sub>1</sub>,..., M<sub>t</sub> > / n binary WOM code the sum-rate R is the total number of bits stored per cell in all t writes
- Thus,

$$R = \sum_{i=1}^{t} R_i$$

where 
$$R_i = \frac{\log_2 M_i}{n}$$

#### Examples

• <4, 4>/3 WOM code

$$R = R_1 + R_2 = 2\frac{\log_2 2^2}{3} = \frac{4}{3} \approx 1.3333$$

• < 26, 26 > /7 WOM code

$$R = R_1 + R_2 = 2\frac{\log_2 26}{7} \approx 1.3429$$

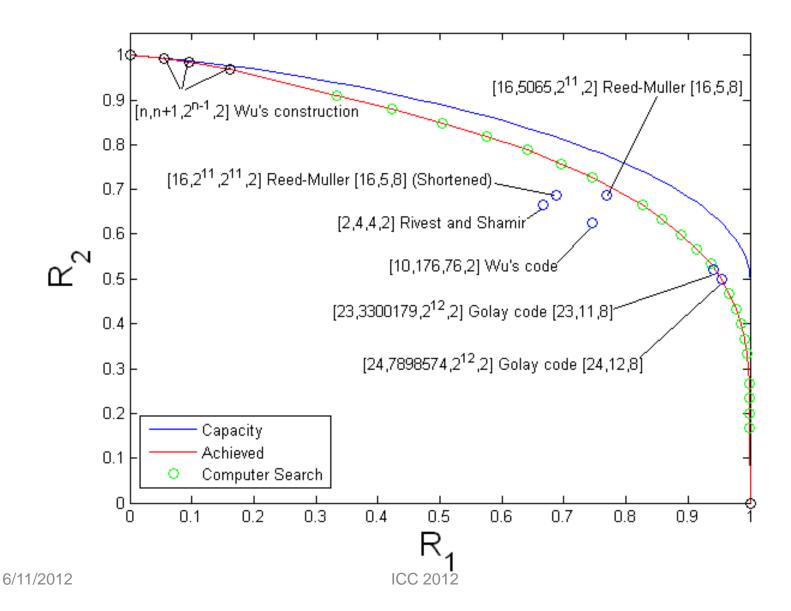
What is the largest achievable sum-rate for a *t*-write WOM code on *n* cells?

#### Achievable rate region [Heegard 1986, Fu and Han Vinck 1999]

• For a binary WOM the *t*-write achievable rate region is given by:

$$R^{(t)} = \{ (R_1, \dots, R_t) | R_1 \le h(p_1), \\ R_2 \le (1 - p_1)h(p_2), \dots, \\ R_{t-1} \le \left( \prod_{i=1}^{t-2} (1 - p_i) \right) h(p_{t-1}), \\ R_t \le \prod_{i=1}^{t-1} (1 - p_i) \text{ where } 0 \le p_1, \dots, p_{t-1} \le 1/2 \}.$$
$$[h(p) = -p \log_2 p - (1 - p) \log_2 (1 - p)]$$

#### Achievable region: 2-write WOM codes



#### WOM Capacity

• The *unrestricted-rate capacity C*<sup>(*t*)</sup> of a *t*-write binary WOM is the maximum of the achievable sum-rates.

-It has been shown that  $C^{(t)} = \log_2(t+1)$ .

 The *fixed-rate capacity* C<sub>0</sub><sup>(t)</sup> of a *t*-write binary WOM does not have a simple expression, but can be computed recursively.

#### Capacity: 2-write WOM

• The unrestricted-rate capacity of 2-write binary WOM is:

$$C^{(2)} = \max_{\substack{(R_1, R_2) \in R^{(2)}}} \left( R_1 + R_2 \right)$$
$$= \max_{p \in [0, \frac{1}{2}]} \left( h(p) + (1-p) \right)$$

• This sum is maximized when p=1/3, implying

$$R_1 \approx 0.918296, R_2 = 2/3$$
  
 $C^{(2)} = \log_2 3 \approx 1.5849$ 

#### Fixed-rate Capacity: 2-write WOM

• The fixed-rate capacity of a 2-write binary WOM is:

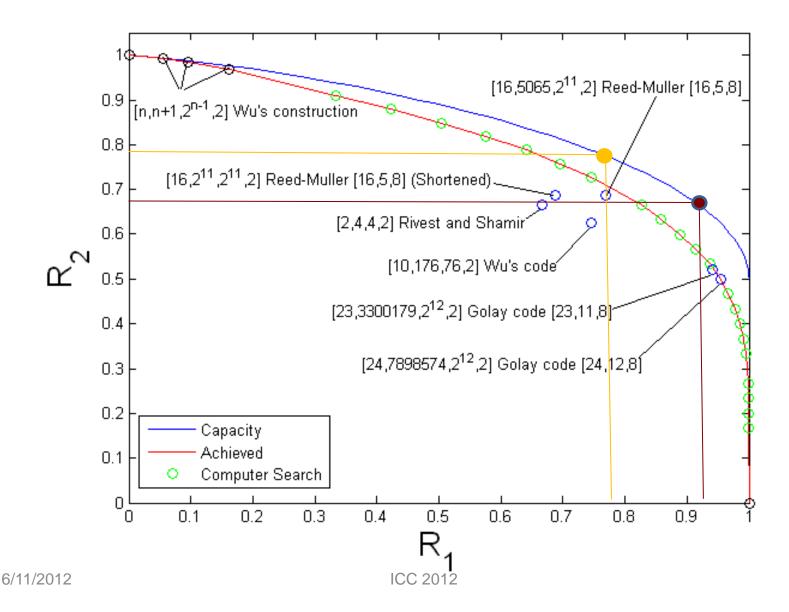
$$C_0^{(2)} = h(p^*) + (1 - p^*)$$

where 
$$p \approx 0.227$$
 satisfies  
 $h(p^*) = (1 - p^*)$ 

• This implies

$$R_1 = R_2 \approx 0.773$$
  
 $C_0^{(2)} \approx 1.5458.$ 

#### Achievable region: 2-write WOM codes



Coset Coding Construction [Cohen, Godlewski, and Merxx 1986]

- Let *C*[*n*,*k*] be a binary linear block code with parity-check matrix *H*.
- 1<sup>st</sup> write: Write a "syndrome"  $s_1$  of r=n-k bits by means of a low-weight "error vector"  $y_1$  such that  $H \cdot y_1 = s_1$ .
- 2<sup>nd</sup> write: Write another "syndrome"  $s_2$  of r bits by finding (if possible) a vector  $y'_2$  not "overlapping"  $y_1$  such that  $H \cdot y'_2 = s_1 + s_2$ .
- Write  $y_2 = y_1 + y'_2$  and decode using

 $H \cdot y_2 = H \cdot (y_1 + y'_2) = s_1 + (s_1 + s_2) = s_2$ 

#### <4,4>/3 as a "Coset" WOM Code

 Let C[n,k] be the binary 3-repetition code with paritycheck matrix

1

$$H = \begin{bmatrix} 0 & 1 & 1 \\ 1 & 0 & 1 \end{bmatrix}$$

- 2-bit "syndromes"  $s_1 = 00, 01, 10, 11$  correspond to vectors  $y_1 = 000, 100, 010, 001$ .
- All 2 x 2 submatrices of *H* are invertible, so, given  $y_1$ we can find non-overlapping vector  $y'_2$  such that  $H \cdot y'_2 = s_1 + s_2$ , and then write  $y_2 = y_1 + y'_2$ .

#### <4,4>/3 Coset Coding Example

$$H = \begin{bmatrix} 0 & 1 & 1 \\ 1 & 0 & 1 \end{bmatrix}$$

- 1<sup>st</sup> write: Encode  $s_1 = 01$  into  $y_1 = 100$ satisfying  $H \cdot y_1 = s_1$
- 2<sup>nd</sup> write: Decode  $y_1 = 100$  to  $s_1 = 01$ .

Encode  $s_2 = 10$  by finding non-

overlapping  $y'_2$  such that

 $H \cdot y'_2 = s_1 + s_2 = 11$ , namely  $y'_2 = 001$ 

and writing  $y_2 = y_1 + y'_2 = 100 + 001 = 101$ .

[Note: 101 correctly decodes to  $s_2 = 10$ ]

#### Generalized Coset Coding [Wu 2010, Yaakobi et al. 2010]

- Let C[n,n-r] be a code with  $r \times n$  parity-check matrix **H**.
- For a vector  $v \in \{0,1\}^n$ , let  $H_v$  be the matrix H with 0's in the columns that correspond to the positions of the 1's in  $\nu$ .
- 1<sup>st</sup> Write: write a vector  $v_1 \in V_C = \{v \in \{0,1\}^n \mid \operatorname{rank}(H_v) = r\}$ .
- 2<sup>nd</sup> Write: Write an *r*-bit vector *s*<sub>2</sub> as follows:
  - Decode  $s_1 = H \cdot v_1$
  - Find non-overlapping  $v'_2$  with  $H \cdot v'_2 = s_1 + s_2$ (possible because  $rank(H_{\nu_1}) = r$ ).
  - Write  $v_2 = v_1 + v'_2$  to memory.
- **Decoding:** Compute  $H \cdot v_2 = H \cdot (v_1 + v'_2) = s_1 + (s_1 + s_2) = s_2$ .
- [Note: The set  $V_C$  is independent of the choice of H.] 6/11/2012

#### Sum-Rate Results

- The construction works for any code C[n,k].
- The rate of the first write is:

 $R_1(C) = (\log_2 |V_C|) / n$ 

• The rate of the second write is:

 $R_2(\mathbf{C}) = r/n$ 

• Thus, the sum-rate is:

 $R(C) = (\log_2 |V_C| + r)/n$ 

• Goal: Choose a code C to maximize R(C).

#### **Specific Constructions**

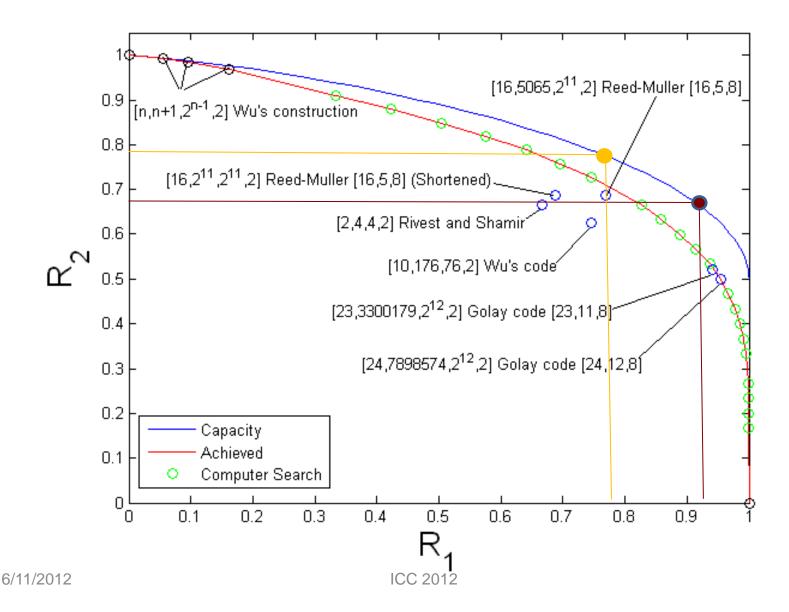
• [n,k,d] = [16,5,8] first-order Reed-Muller code:

 $|V_C| = 5065, (R_1, R_1) = (0.7691, 0.6875),$ so  $R \approx 1.4566.$ 

Restricting first write to  $2^{11} < 5065$  messages yields a fixed-rate code with  $R_1 = R_2 = 11/16$ , so  $R \approx 1.375$ .

- [n,k,d]=[23,12,8] Golay code:  $|V_C| = 3300179, (R_1, R_1)=(0.9415, 0.5217), \text{ so } R \approx 1.4632.$
- Previous best constructions:
  - Fixed-rate: R-S <26, 26>/7 with  $R \approx 1.34$
  - Unrestricted rate: Wu <176,76>/10 with  $R \approx 1.371$

#### Achievable region: 2-write WOM codes



#### Random codes and WOM Capacity [Yaakobi et al. 2010 and Wu 2010]

• **Recall:** The 2-write achievable rate region is

 $R^{(2)} = \{ (R_1, R_2) | R_1 \le h(p), R_2 \le 1 - p,$ for  $0 \le p \le 1/2 \}.$ 

Theorem: For any (R<sub>1</sub>, R<sub>2</sub>)∈ R<sup>(2)</sup> and ε > 0, there exists a linear code C satisfying

 $R_1(C) \ge R_1 - \varepsilon$  and  $R_2(C) \ge R_2 - \varepsilon$ 

**Proof:** Use the "coset coding" construction with a randomly chosen  $(n - k) \ge k$  parity-check matrix with  $k = \lceil np \rceil$  where  $R_1 \le h(p), R_2 \le 1 - p$ .

#### Computer search results

- Computer search using "randomly" chosen *H*.
  - Best unrestricted-rate WOM code (22x33):

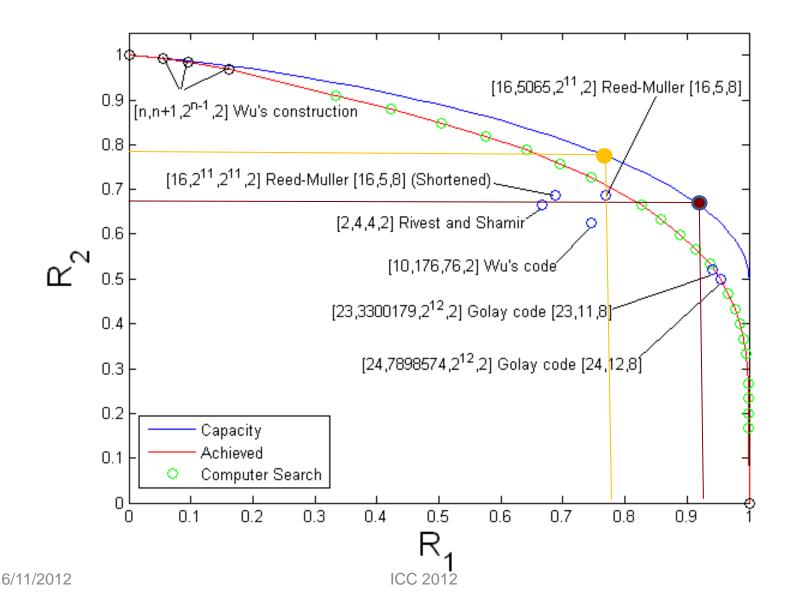
$$< M_1 M_2 > /n = < 2^{(33 \times 0.8261)}, 2^{22} > /33$$

#### $R \approx 1.4928$

- Best fixed-rate WOM code (24x33):  $< M_1 M_2 > / n = < 2^{24}, 2^{24} > / 33$ 

$$R \approx 1.4546$$

#### Rate Region and Code Constructions



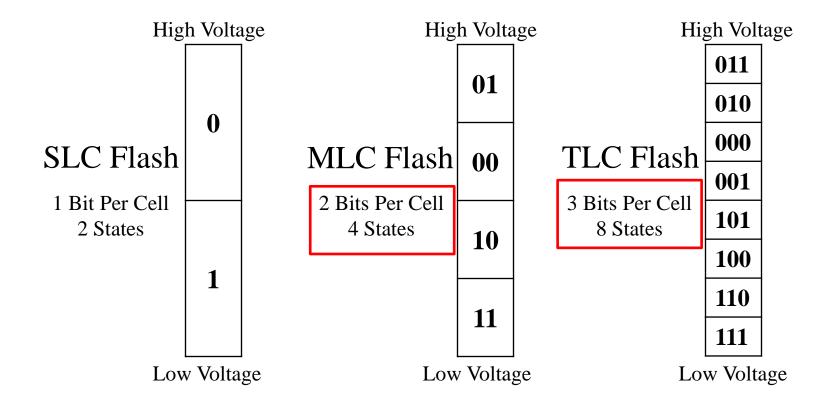
#### Capacity-achieving 2-write codes [Shpilka 2012]

- Efficient capacity-achieving construction based upon modified "coset coding".
  - 1<sup>st</sup> write: Program any binary vector of weight at most *m* (fixed).
  - 2<sup>nd</sup> write: Use a set of matrices (derived using the Wozencraft code ensemble) such that at least one of them succeeds on the second write.

#### 3-write Binary WOM Codes

- Recall that  $C^{(3)} = \log_2(3+1)=2$ .
- [Kayser et al. 2010]: General construction based upon 2-write ternary WOM code, yielding sum-rate  $R \approx 1.61$ (with  $R \approx 1.66$  the best it can achieve).
- [Shpilka 2011]: Construction based upon efficient 2-write WOM codes, yielding sum-rate  $R \approx 1.8$ .
- [Yaakobi & Shplika 2012]: Further refinements leading to sum-rate  $R \approx 1.88$ .

#### WOM codes for Non-Binary Flash



# Non-Binary WOM-Codes

- Each cell has q levels  $\{0,1,\ldots,q-1\}$ .
- The achievable rate region of non-binary WOM-codes was given by Fu and Han Vinck, 1999.
- The maximal sum-rate of a *t*-writes, *q*-ary WOM code is

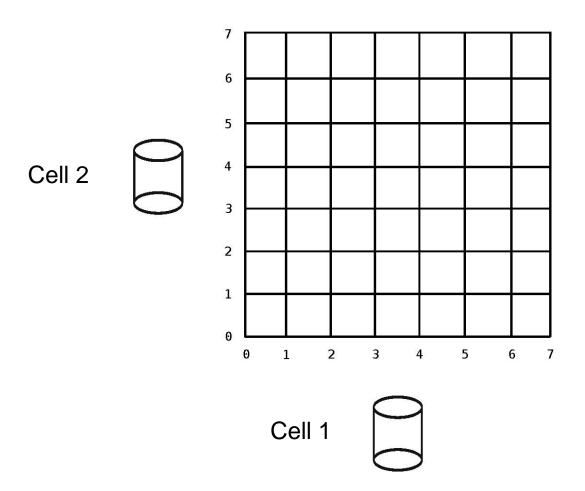
$$C = \log \binom{t+q-1}{q-1}$$

- Random "partition" coding achieves capacity.
- Recent works give specific code designs.

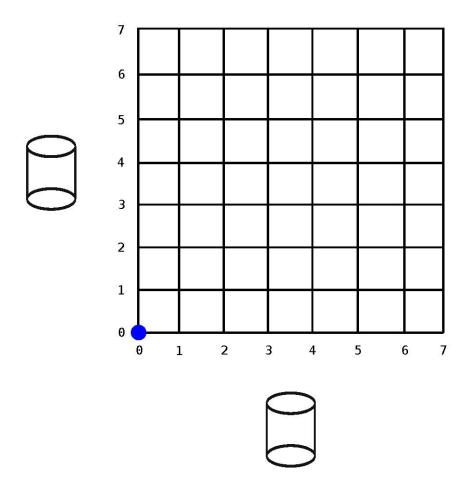
Lattice-based *q*-ary WOM Codes [Kurkoski 2012, Bhatia et al. 2012]

- Lattice-based WOM codes for multi-level flash provides a possible way to combine increased endurance with error resilience.
- Techniques developed for lattice-based data modems can be applied in the design of WOM codes with worst-case optimal sum-rates.
- The key tool is "continuous approximation".

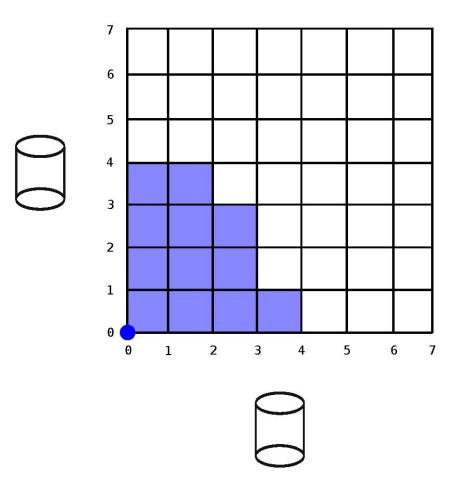
#### 2-cell 8-level WOM



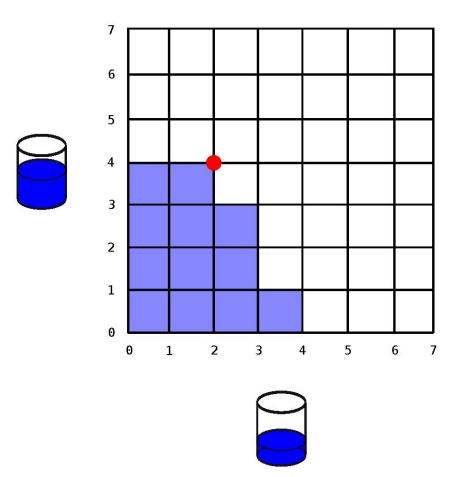
• The x and y axis denote the cell levels in [0,7].



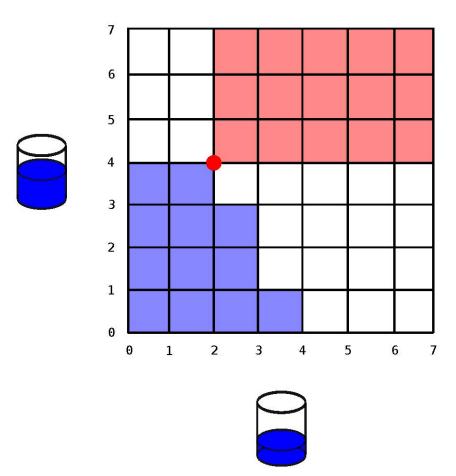
• The initial level on each cell is 0.



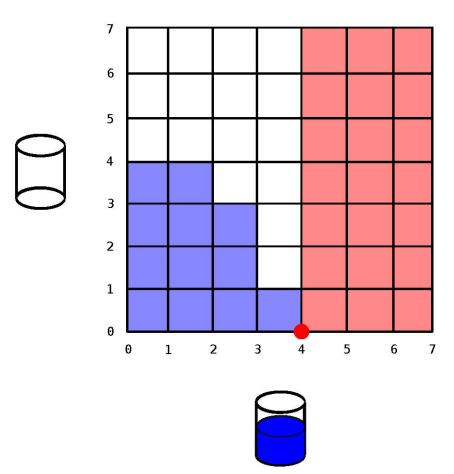
• Messages on the first write are encoded to points in the first write region, shown in blue.



• The written cell levels (2,4) are indicated by the red dot.

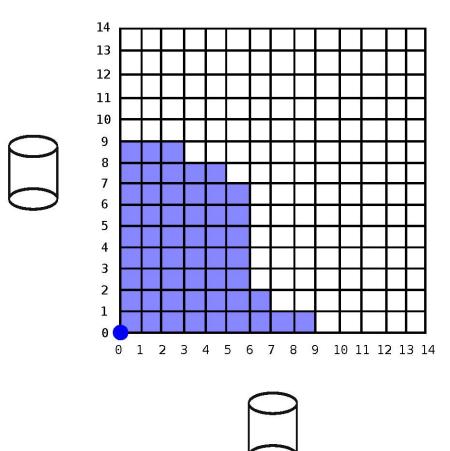


• Messages on 2<sup>nd</sup> write must encode to the red region.



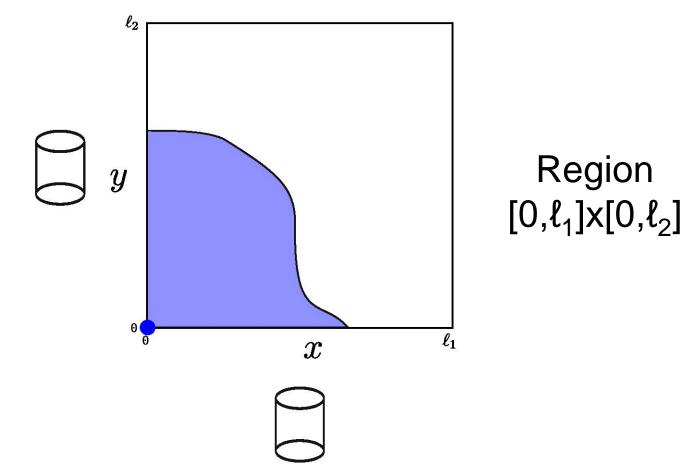
- The 2<sup>nd</sup> second write region depends on 1<sup>st</sup> write
- We want to optimize the worst-case sum-rate.

2-write *q*-level WOM Code



• When the number of levels *q* is large, the lattice becomes denser.

### **Continuous Approximation**



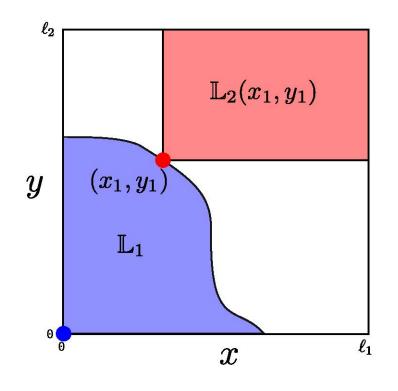
• For large *q*, we approximate the discrete levels by a continuous region whose area reflects the number of messages.

- First write:
  - Number of messages

 $V_1 = \left| \mathsf{L}_1 \right|$ 

- Message encoded to  $(x_1, y_1) \in L_1$
- Second write:
  - Message encoded to

 $(x_2, y_2) \in L_2(x_1, y_1)$ 



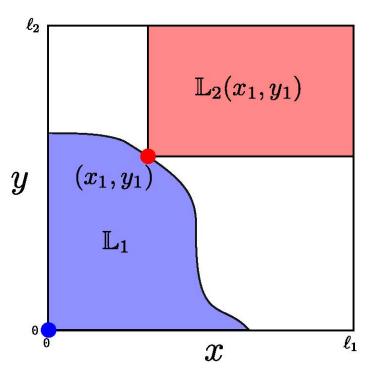
– Number of 2<sup>nd</sup>-write messages that can be stored in worst case:

$$V_{2} = \min_{(x_{1}, y_{1}) \in \mathsf{L}_{1}} \left| \mathsf{L}_{2}(x_{1}, y_{1}) \right|$$

### **Optimal Worst-case Sum-rate: 2-writes**

- We want to find the 1<sup>st</sup> -write region  $\Lambda_1$  that maximizes the total number of messages on both writes when the first encoding is the point  $(x_1, y_1) \in L_1$  with the fewest choices in its 2<sup>nd</sup>-write region.
- So, we find  $\Lambda_1$  that maximizes:

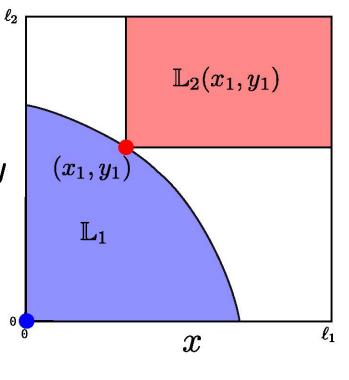
$$V_1 \cdot V_2 = |\mathsf{L}_1| \times \min_{(x_1, y_1) \in \mathsf{L}_1} |\mathsf{L}_2(x_1, y_1)|$$



### 2-write Worst-case Sum-rate Region

 The region Λ<sub>1</sub> that maximizes the worst-case total number of messages y on both writes is a *rectangular hyperbola* defined by

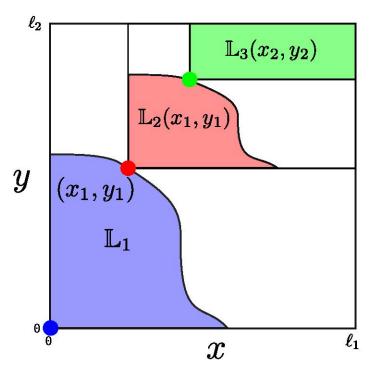
$$\mathsf{L}_{1} = \left\{ (x, y) \mid \left( 1 - \frac{x}{\ell_{1}} \right) \left( 1 - \frac{y}{\ell_{2}} \right) \ge \omega_{2} \right\}$$



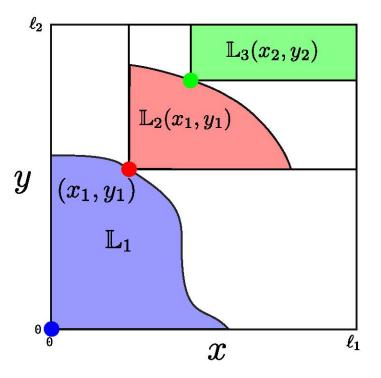
where  $\omega_2 \approx 0.2847$ . The resulting sum-rate is given by:

$$V_1 \cdot V_2 = \frac{1}{2} \omega_2 \left( 1 - \omega_2 \right) \left( \ell_1 \ell_2 \right)^2$$

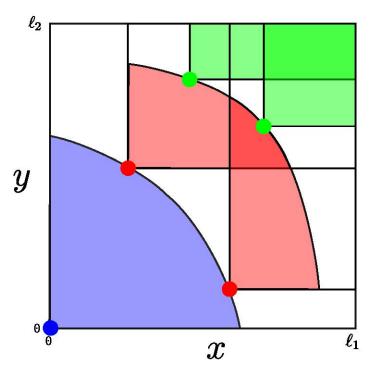
 For 3 writes on 2 cells, similar reasoning shows that the optimal boundary of the second-write region
 L<sub>2</sub>(x<sub>1</sub>, y<sub>1</sub>) is a rectangular
 hyperbola that maximizes the number of messages for the 2<sup>nd</sup> and 3<sup>rd</sup> writes.



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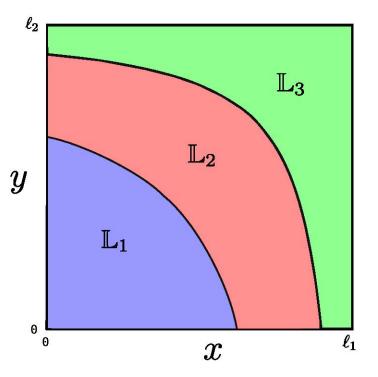


• For 3 writes on 2 cells, similar reasoning shows that the optimal boundary of the second-write region  $L_2(x_1, y_1)$  is a rectangular hyperbola that maximizes the number of messages for the  $2^{nd}$  and  $3^{rd}$  writes.



• If  $\Lambda_1$  is a rectangular hyperbola, the corresponding boundaries line up perfectly.

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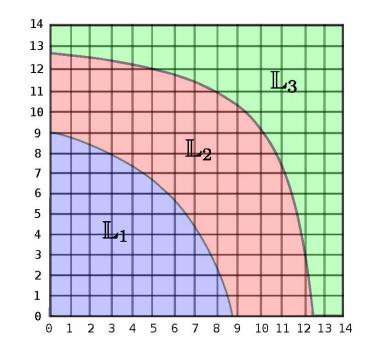


- If  $\Lambda_1$  is a rectangular hyperbola, the corresponding boundaries line up perfectly.
- The optimal write-region boundaries are all hyperbolas.

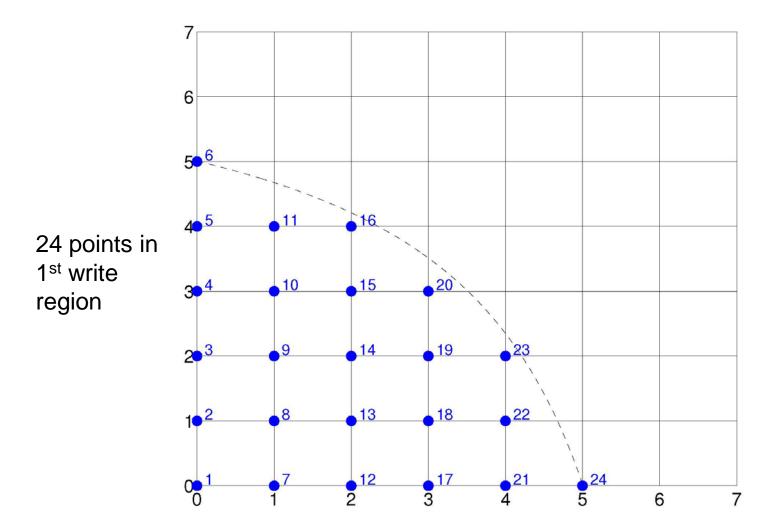
### Generalizations

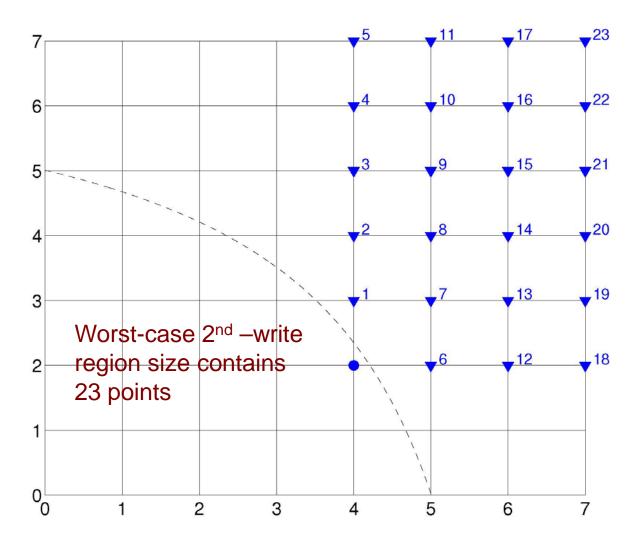
- For 2 cells, t >3 writes, unrestricted rates, the optimal worst-case sum-rate is achieved when the boundaries of the write regions are all rectangular hyperbolas.
- Further generalizations characterize the optimal write regions for *n* cells, *t* writes, for both fixed-rate and unrestricted-rate WOM codes.

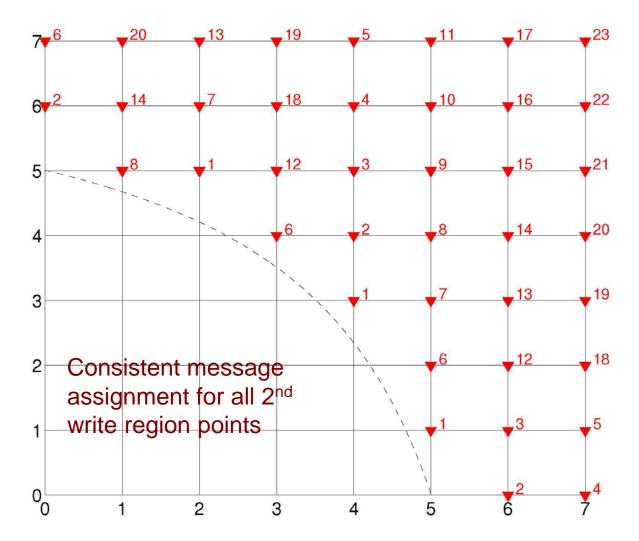
### Codes for Discrete-level Cells

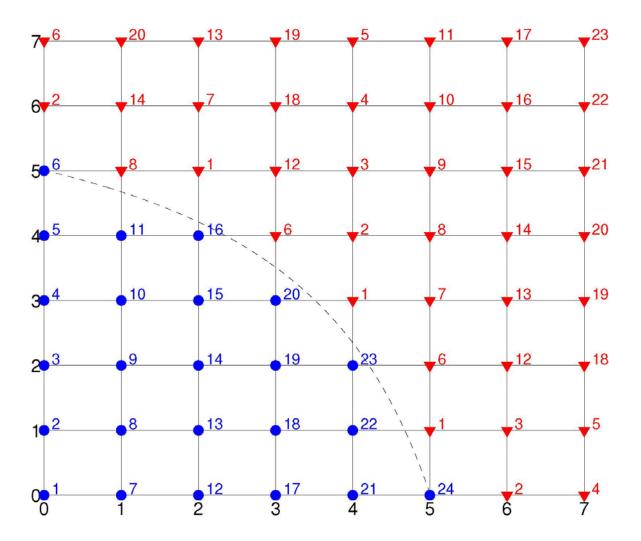


- To design codes for cells with *q* levels, we quantize the optimal write regions, creating corresponding codeword regions.
- Messages in *i*-th write are encoded into cell-level pairs in the *i*-th region.
- Consistent labeling of messages to codewords is needed









# **Concluding Remarks**

- WOM codes offer the possibility of increasing flash endurance by reducing the number of programerase cycles.
- Recent studies show they may reduce write amplification [Luojie et al. 2012].
- WOM codes have been proposed as a way to combat inter-cell interference [Li 2011].
- The combination of error-correction and WOM coding is an active area of research.
- Progress has been made in the design and analysis of WOM codes, but much remains to be done!

### Thanks to My Students

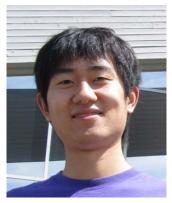
#### Aman Bhatia



Scott Kayser



Minghai Qin



#### Eitan Yaakobi



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# Thanks to Pioneers in Coding for Flash

#### Prof. Andrew Jiang



#### Prof. Shuki Bruck



# Thanks to My Sponsors











### Thank You for Your Attention

• Using the < 26,26 > / 7 Rivest-Shamir code

0	0	0	0	0	0	0	Т	0	0	0	1	1	0	0	V	0	0	1	1	1	0	0
0	0	0	0	0	0	0	Η	0	0	0	0	0	0	1	е	0	0	1	1	0	1	1
0	0	0	0	0	0	0	Α	0	0	0	0	0	0	0	r	0	0	0	1	0	1	1
0	0	0	0	0	0	0	Ν	1	0	0	0	0	0	1	у	1	0	1	0	1	1	1
0	0	0	0	0	0	0	Κ	1	0	0	1	0	0	0	m	1	0	1	1	0	0	0
0	0	0	0	0	0	0	Y	0	0	0	0	1	0	1	u	0	0	1	1	1	1	1
0	0	0	0	0	0	0	0	0	1	1	0	0	0	0	С	0	1	1	1	0	0	1
0	0	0	0	0	0	0	U	0	0	1	0	1	0	0	h	1	1	1	1	1	0	0